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FOR: METHOD, APPARATUS, COMPUTER PROGRAM, COMPUTER SYSTEM AND
COMPUTER-READABLE STORAGE FOR REPRESENTING AND
SEARCHING FOR AN OBJECT IN AN IMAGE**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119
AND THE INTERNATIONAL CONVENTION**Assistant Commissioner for Patents
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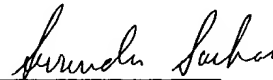
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<u>COUNTRY</u>	<u>APPLICATION NO.</u>	<u>DAY/MONTH/YEAR</u>
GREAT BRITAIN	9916684.5	15 July 1999

A certified copy of the corresponding Convention application(s) was submitted to the International Bureau in PCT Application No. **PCT/JP00/04673**.

Respectfully submitted,
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1. Your reference J00041748GB

2. Patent application number 9916684.5
(The Patent Office will fill in this part) 15 JUL 1999

3. Full name, address and postcode of the or of each applicant (underline all surnames) Mitsubishi Electric Information Technology Centre Europe B.V.
20 Frederick Sanger Road
The Surrey Research Park
Guildford, Surrey
GU2 5YD
United Kingdom

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

7542749001

4. Title of the invention Method and Apparatus for Representing and Searching for an Object in an Image

5. Name of your agent (if you have one) R.G.C. JENKINS & CO.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

26 Caxton Street
London
SW1H 0RJ

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Request for preliminary examination and search (*Patents Form 9/77*) 1 / A

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11. I/We request the grant of a patent on the basis of this application.

Signature

R.G.C. Jenkins & Co.

Date 15 July, 1999

R.G.C. JENKINS & CO

12. Name and daytime telephone number of person to contact in the United Kingdom

Ms Holly Whitlock - 0171-931-7141

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Method and Apparatus for Representing and Searching for an Object in
an Image

The present invention relates to the representation of an object
5 appearing in a still or video image, such as an image stored in a multimedia
database, especially for searching purposes, and to a method and apparatus for
searching for an object using such a representation.

In applications such as image or video libraries, it is desirable to have
an efficient representation and storage of the outline or shape of objects or
10 parts of objects appearing in still or video images. A known technique for
shape-based indexing and retrieval uses Curvature Scale Space (CSS)
representation. Details of the CSS representation can be found in the papers
"Robust and Efficient Shape Indexing through Curvature Scale Space" Proc.
British Machine Vision conference, pp 53-62, Edinburgh, UK, 1996 and
15 "Indexing an Image Database by Shape Content using Curvature Scale Space"
Proc. IEE Colloquium on Intelligent Databases, London 1996, both by F.
Mokhtarian, S. Abbasi and J. Kittler, the contents of which are incorporated
herein by reference.

The CSS representation uses a curvature function for the outline of the
20 object, starting from an arbitrary point on the outline. The curvature function
is studied as the outline shape is evolved by a series of deformations which
smooth the shape. More specifically, the zero crossings of the derivative of

the curvature function convolved with a family of Gaussian filters are computed. The zero crossings are plotted on a graph, known as the Curvature Scale Space, where the x-axis is the normalised arc-length of the curve and the y-axis is the evolution parameter, specifically, the parameter of the filter applied. The plots on the graph form loops characteristic of the outline. Each convex or concave part of the object outline corresponds to a loop in the CSS image. The co-ordinates of the peaks of the most prominent loops in the CSS image are used as a representation of the outline.

To search for objects in images stored in a database matching the shape of an input object, the CSS representation of an input shape is calculated. The similarity between an input shape and stored shapes is determined by comparing the position and height of the peaks in the respective CSS images using a matching algorithm.

It is also known from the first-mentioned paper above to use two additional parameters, circularity and eccentricity of the original shape, to reject from the matching process shapes with significantly different circularity and eccentricity parameters.

A problem with the representation as described above is that retrieval accuracy is sometimes poor, especially for curves which have a small number of concavities or convexities. In particular, the representation cannot distinguish between various convex curves.

An aspect of the present invention is to introduce an additional means of describing the shape of the "prototype contour shape". The prototype contour shape is defined here preferably as:

1) The original shape if there are no convexities or concavities in
5 the contour (i.e. there are no peaks in the CSS image), or

2) The contour of the shape after smoothing equivalent to the highest peak in the CSS image.

Note, that the prototype contour shape is always convex.

For example, the shape of the prototype contour can be described by
10 means of the invariants based on region moments as described in the paper "Visual Pattern Recognition by Moments Invariants", IEEE Transaction on Information Theory, Vol. IT-8, 179-187, 1962 by M.K. Hu the contents of which are incorporated herein by reference or using the Fourier descriptors as described in the paper "On Image Analysis by the Methods of Moments",
15 IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 10, No. 4, July 1988, by Cho-Huak The, the contents of which are incorporated herein by reference, or parameters such as eccentricity, circularity, etc. In the

known method mentioned above, eccentricity and circularity is only used in relation to the original shape. Here we use it in relation to a "prototype
20 shape", which is different for curves which have at least one CSS peak. Another difference is that in the known method eccentricity and circularity are used to reject certain shapes from the similarity matching, and here we use

them (in addition to CSS peaks) to derive the value of the similarity measure. Finally, we extend the additional parameters used in the matching process to the moment invariants, Fourier descriptors and Zernike Moments.

As a result of the invention, the retrieval accuracy can be improved.

5 Embodiments of the present invention will be described with reference to the accompanying drawings of which:

Fig. 1 is a block diagram of a video database system;

Fig. 2 is a drawing of an outline of an object; and

Fig. 3 is a CSS representation of the outline of Fig. 2.

10 Fig. 1 shows a computerised video database system according to an embodiment of the invention. The system includes a control unit 2 in the form of a computer, a display unit 4 in the form of a monitor, a pointing device 6 in the form of a mouse, an image database 8 including stored still and video images and a descriptor database 10 storing descriptors of objects or
15 parts of objects appearing in images stored in the image database 8.

A descriptor for the shape of each object of interest appearing in an image in the image database is derived by the control unit 2 and stored in the descriptor database 10. The control unit 2 derives the descriptors operating
20 under the control of a suitable program implementing a method as described below.

Firstly, for a given object outline, a CSS representation of the outline is derived. This is done using the known method as described in one of the papers mentioned above.

More specifically, the outline is expressed by a representation
 5 $\Psi = \{(x(u), y(u), u \in [0, 1])\}$ where u is a normalised arc length parameter.

The outline is smoothed by convolving Ψ with an ID Gaussian kernel $g(u, \sigma)$, and the curvature zero crossings of the evolving curve are examined as σ changes. The zero crossing are identified using the following expression for the curvature:

$$10 \quad k(u, \sigma) = \frac{X_u(u, \sigma)Y_{uu}(u, \sigma) - X_{uu}(u, \sigma)Y_u(u, \sigma)}{(X_u(u, \sigma)^2 + Y_u(u, \sigma)^2)^{3/2}}$$

where

$$X(u, \sigma) = x(u) * g(u, \sigma) \quad Y(u, \sigma) = y(u) * g(u, \sigma)$$

and

$$X_u(u, \sigma) = x(u) * g_u(u, \sigma) \quad X_{uu}(u, \sigma) = x(u) * g_{uu}(u, \sigma)$$

15 In the above, $*$ represents convolution and subscripts represent derivatives.

The number of curvature zero crossings changes as σ changes, and when σ is sufficiently high Ψ is a convex curve with no zero crossings.

The zero crossing points (u, σ) are plotted on a graph, known as the
 20 CSS image space. This results in a plurality of curves characteristic of the original outline. The peaks of the characteristic curves are identified and the

corresponding co-ordinates are extracted and stored. In general terms, this gives a set of n co-ordinate pairs $[(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)]$, where n is the number of peaks, and x_i is the arc-length position of the i th peak and y_i is the peak height. These peak co-ordinates constitute the CSS representation.

5 In addition to the CSS representation, further parameters are associated with the shape to produce the shape descriptor. In this embodiment, the additional parameters are the eccentricity and circularity of the "prototype region" for the shape, where the "prototype region" of the shape is the contour of the shape after the final smoothing step, that is, at the
10 point equivalent to the highest peak value σ . Other values of σ can be selected for the prototype region. This results in a shape descriptor for a shape S in the form: $\{EPR, CPR, PEAKS\}$ where EPR represents the eccentricity of the prototype region, CPR the circularity of the prototype region, and PEAKS the CSS representation.

15 A method of searching for an object in an image in accordance with an embodiment of the invention will now be described.

Here, the descriptor database 10 of the system of Fig. 1 stores shape descriptors derived according to the method described above.

20 The user initiates a search by drawing an object outline on the display using the pointing device. The control unit 2 then derives a shape descriptor of the input outline in the manner described above. The control unit then

performs a matching comparison with each shape descriptor stored in the database.

Suppose the input outline, Shape S1, is being compared with a stored shape S2, S1 and S2 being respective descriptors:

5 S1: {EPR1, CPR1, PEAKS1}

 S2: {EPR2, CPR2, PEAKS2}

Where EPR means Eccentricity of the prototype region and CPR means Circularity of the prototype region, and PEAKS means the set of coordinates of peaks in the CSS image (the set can be empty). The similarity
10 measure between two shapes is computed as follows.

$$M = a * \text{abs}((\text{EPR2} - \text{EPR1}) / (\text{EPR2} + \text{EPR1})) + b * \text{abs}((\text{CPR2} - \text{CPR1}) / ((\text{CPR2} + \text{CPR1})) + \text{SM}(\text{PEAKS1}, \text{PEAKS2}))$$

Where a and b are two coefficients and SM is the standard similarity measure defined on the two sets of peaks [1], and abs denotes absolute value.
15 SM is calculated using a known matching algorithm such as described in the above-mentioned papers can be used. That matching procedure is briefly described below.

Given two closed contour shapes, the image curve Ψ_i and the model curve Ψ_m and their respective sets of peaks $\{(x_{i1}, y_{i1}), (x_{i2}, y_{i2}), \dots, (x_{in}, y_{in})\}$
20 and $\{(x_{m1}, y_{m1}), (x_{m2}, y_{m2}), \dots, (x_{mn}, y_{mn})\}$ the similarity measure is calculated. The similarity measure is defined as a total cost of matching of peaks in the model into peaks in the image. The matching which minimises

the total cost is determined using a dynamic programming. The algorithm recursively matches the peaks from the model to the peaks from the image and calculates the cost of each such match. Each model peak can be matched with only one image peak and each image peak can be matched with only one
 5 model peak. Some of the model and or image peak may remain unmatched, and there is an additional penalty cost for each unmatched peak. Two peaks can be matched if their horizontal distance is less than 0.2. The cost of a match is the length of the straight line between the two matched peaks. The cost of an unmatched peak is its height.

10 In more detail the algorithm works by creating and expanding a tree-like structure, where nodes correspond to matched peaks:

1. Create starting node consisting of the largest maximum of the image (x_{ik}, y_{ik}) and the largest maximum of the model (x_{ir}, y_{ir}).
2. For each remaining model peak which is within 80 percent of
 15 the largest maximum of the image peaks create an additional starting node.
3. Initialise the cost of each starting node created in 1 and 2 to the absolute difference of the y-coordinate of the image and model peaks linked by this node.
4. For each starting node in 3, compute the CSS shift parameter
 20 alpha, defined as the difference in the x (horizontal) coordinates of the model and image peaks matched in this starting node. The shift parameter will be different for each node.

5. For each starting node, create a list of model peaks and a list of image peaks. The list hold information which peaks are yet to be matched. For each starting node mark peaks matched in this node as "matched", and all other peaks as "unmatched".

5 6. Recursively expand a lowest cost node (starting from each node created in steps 1-6 and following with its children nodes) until the condition in point 8 is fulfilled. To expand a node use the following procedure:

7. Expanding a node:

10 If there is at least one image and one model peak left unmatched:

 select the largest scale image curve CSS maximum which is not matched (xip,yip). Apply the starting node shift parameter (computed in step 4) to map the selected maximum to the model CSS image – now the
15 selected peak has coordinates (xip-alpha, yip). Locate the nearest model curve peak which is unmatched (xms,yms). If the horizontal distance between the two peaks is less then 0.2 (i.e: $|xip-alpha - xms| < 0.2$), match the two peaks

 and define the cost of the match as the length of the straight line between the two peaks. Add the cost of the match to the total cost of that node. Remove
20 the matched peaks from the respective lists by marking them as "matched". If the horizontal distance between the two peaks is greater than 0.2, the image peak (xip,yip) cannot be matched. In that case add its height yip to the total

cost and remove only the peak (xip,yip) from the image peak list by marking it as "matched".

Otherwise (There are only image peaks or there are only model peaks left unmatched):

5 Define the cost of the match as the height of the highest unmatched image or model peak and remove that peak from the list.

8. If after expanding a node in 7 there are no unmatched peaks in both the image and model lists, the matching procedure is terminated. The cost of this node is the similarity measure between the image and model
10 curve. Otherwise, go to point 7 and expand the lowest cost node.

The above procedure is repeated with the image curve peaks and the model curve peaks swapped. The final matching value is the lower of the two.

The above steps are repeated for each model in the database.

The similarity measures resulting from the matching comparisons are
15 ordered and the objects corresponding to the descriptors having similarity measures indicating the closest match (i.e. here the lowest similarity measures) are then displayed on the display unit 4 for the user. The number
of objects to be displayed can be pre-set or selected by the user.

In an alternative implementation, different parameters can be used to
20 describe the shape of the "prototype region". For example three Fourier coefficients of the curve can be used. The similarity measure can be defined as follows:

$$M = a * EUC(F1, F2) + SM(PEAKS1, PEAKS2)$$

Where EUC is a Euclidean distance between vectors F1 and F2 formed from three main Fourier Coefficients of the model and image shape, a is a constant, and SM represents the similarity measure for the CSS peaks, calculated using
5 a method essentially as described above.

A system according to the invention may, for example, be provided in an image library. Alternatively, the databases may be sited remote from the control unit of the system, connected to the control unit by a temporary link such as a telephone line or by a network such as the internet. The image and
10 descriptor databases may be provided, for example, in permanent storage or on portable data storage media such as CD-ROMs or DVDs.

Components of the system as described may be provided in software or hardware form. Although the invention has been described in the form of a computer system, it could be implemented in other forms, for example using a
15 dedicated chip.

Specific examples have been given of methods of representing a 2D shape of an object and of methods for calculating values representing
similarities between two shapes but any suitable such methods can be used.

The invention can also be used, for example, for matching images of
20 objects for verification purposes, or for filtering.

CLAIMS:

1. A method of representing an object appearing in a still or video image, by processing signals corresponding to the image, the method
5 comprising deriving a curvature scale space (CSS) representation of the object outline by smoothing the object outline, deriving at least one additional parameter reflecting the shape or mass distribution of a smoothed version of the original curve, and associating the CSS representation and the additional parameter as a shape descriptor of the object.
10
2. A method as claimed in claim 1 wherein an additional parameter relates to the smoothed outline corresponding to a peak in the CSS image.
- 15 3. A method as claimed in claim 2 wherein an additional parameter relates to the smoothed outline corresponding to the highest peak in the CSS image.

4. A method as claimed in any one of claims 1 to 3 wherein an
20 additional parameter corresponds to the eccentricity of the outline.

5. A method as claimed in any one of claims 1 to 4 wherein an additional parameter corresponds to the circularity of the outline.

6. A method as claimed in any one of claims 1 to 5 wherein at
5 least one additional parameter uses a region-based representation.

7. A method as claimed in claim 6 wherein an additional parameter is a region moment invariant.

10 8. A method as claimed in claim 6 or claim 7 wherein an additional parameter is based on Fourier descriptors.

9. A method as claimed in claim 6 wherein an additional parameter is based on Zernike moments of the region enclosed by the outline.

15

10. A method of representing a plurality of objects appearing in a still or video image, by processing signals corresponding to the images, the method comprising, for each object outline, determining if there are significant changes in curvature in the object outline, and, if there are
20 significant changes in curvature of the object outline, then deriving a shape descriptor using a method as claimed in any one of claims 1 to 9 and, if there are no significant changes in curvature of the object outline, then deriving a

shape descriptor including at least said additional parameter reflecting the shape of the object outline.

11. A method as claimed in claim 10 wherein the additional
5 parameter for an object outline having no significant changes in curvature is based on region moment invariants, Fourier descriptors or Zernike moments of the outline.

12. A method of searching for an object in a still or video image by
10 processing signals corresponding to images, the method comprising inputting a query in the form of a two-dimensional outline, deriving a descriptor of said outline using a method as claimed in any one of claims 1 to 11, and comparing said query descriptor with each descriptor for stored objects using a matching procedure using the CSS values and the additional parameters to
15 derive a similarity measure, and selecting and displaying at least one result corresponding to an image containing an object for which the comparison indicates a degree of similarity between the query and said object.

13. A method as claimed in claim 12 wherein the similarity
20 measure is based on M where $M = a * GP-S + CSS-S$ where GP-S is the similarity measure between additional parameters of the compared object

outlines and CSS-S is the similarity measure between the CSS values for the compared object outlines, and a is a constant.

14. A method as claimed in claim 13 where a depends on the
5 number and height of the CSS peaks.

15. A method as claimed in claim 13 or claim 14 where $a=1$ when
there are no CSS peaks associated with either outline and $a=0$ when at least
one outline has a CSS peak.

10

16. A method of searching for an object in a still or video image by
processing signals corresponding to images, the method comprising
calculating a similarity measure between two object outlines using a CSS
representation of said outlines and additional parameters reflecting the shape
15 of or mass distribution within the original outline or a smoothed version of the
outline.

17. An apparatus adapted to implement a method as claimed in any
one of claims 1 to 16.

20

18. A computer program for implementing a method as claimed in
any one of claims 1 to 16.

19. A computer system programmed to operate according to a method as claimed in any one of claims 1 to 16.

5 20. A computer-readable storage medium storing computer-executable process steps for implementing a method as claimed in any one of claims 1 to 16.

10 21. A method of representing objects in still or video images substantially as hereinbefore described with reference to the accompanying drawings.

15 22. A method of searching for objects in still or video images substantially as hereinbefore described with reference to the accompanying drawings.

23. A computer system substantially as hereinbefore described with reference to the accompanying drawings.

Method and Apparatus for Representing and Searching for an Object in
an Image

ABSTRACT

5

A method of representing an object appearing in a still or video image,
by processing signals corresponding to the image, comprises deriving a
curvature scale space (CSS) representation of the object outline by smoothing
the object outline, deriving at least one additional parameter reflecting the
10 shape or mass distribution of a smoothed version of the original curve, and
associating the CSS representation and the additional parameter as a shape
descriptor of the object.

15 Figure 1.

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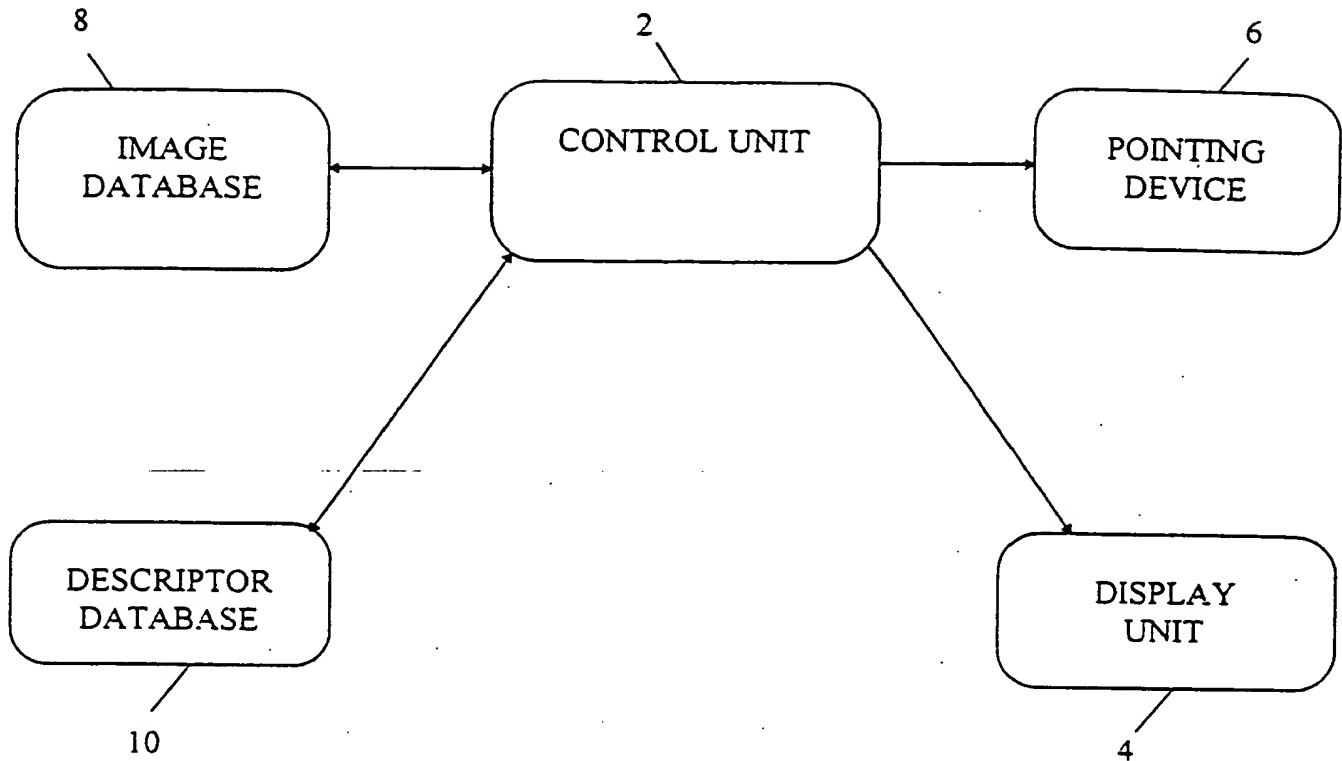


FIGURE 1

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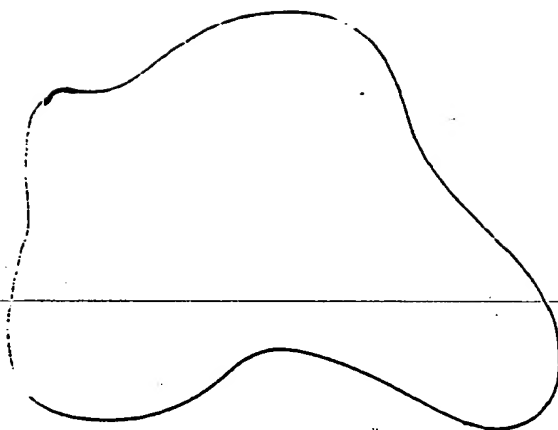


Fig. 2.

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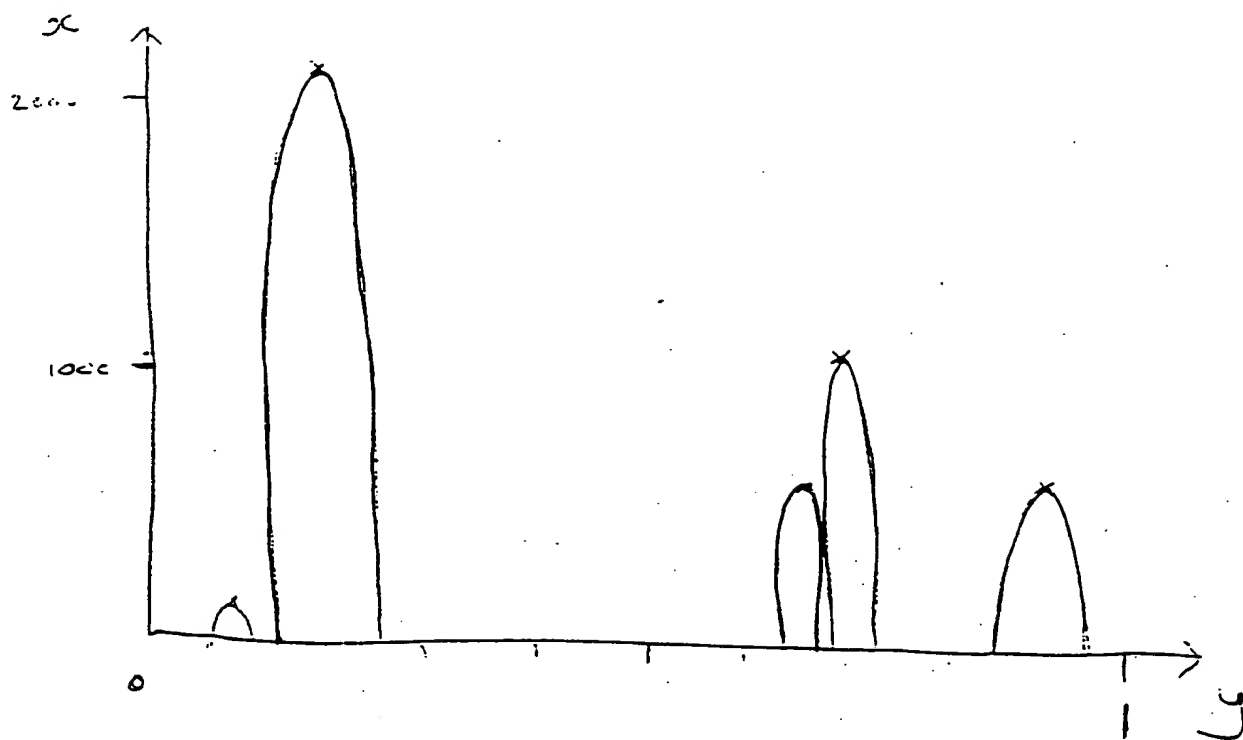


Fig. 17

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